

GETTING GOOD ADVICE

Working with your advisor when you're ready to retire

Expectations and responsibilities checklist

Some of the services you need in retirement may differ from those your advisor currently offers. From the following list, select the services that you expect your advisor to provide. Also, remember to alert your advisor to any changes in your requirements so that he or she can continue to meet your expectations.

For your income

- retirement budgeting
- income-plan management
- tax planning

For your investments

- asset-allocation advice
- risk/reward analysis
- portfolio rebalancing
- investment recommendations
- personal portfolio management
- day-to-day investment monitoring
- securities trading
- mutual fund investing
- record-keeping
- access to specialized research and information
- proactive management

Other services

- estate planning
 - business-succession planning
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Service dimensions

Frequency of meetings

- quarterly
- semi-annually
- annually

Other frequency (please specify)

Frequency of contact (please specify):

Preferred method of contact:

- in person
 - by phone
 - by email
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